



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Feng Q. Liu, et al.

Serial No.: 10/608,404

Confirmation No.: 7966

Filed: June 26, 2003

For: Method and Composition  
for Polishing a Substrate

§  
§  
§  
§  
§  
§  
§  
§  
§  
§  
§

Group Art Unit: 1742

Examiner: Michael P. Alexander

MAIL STOP RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 CFR 1.10

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited on March 29, 2006, with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee," mailing label No. EV335477624US addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

03/29/2006  
Date

Brian K. Hrna  
Brian K. Hrna

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT


The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

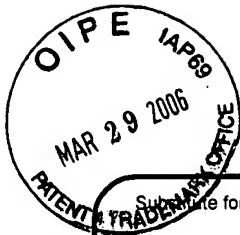
The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Brian K. Hrna", is written over a horizontal line.

Brian K. Hrna  
Registration No. 41,852  
PATTERSON & SHERIDAN, L.L.P.  
3040 Post Oak Blvd. Suite 1500  
Houston, TX 77056  
Telephone: (713) 623-4844  
Facsimile: (713) 623-4846  
Attorney for Applicant(s)

453518\_1



PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Supplemental form 1449A/PTO

**SUPPLEMENTAL  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/608,404
Filing Date	JUNE 26, 2003
First Named Inventor	FENG Q. LIU, ET AL.
Art Unit	1742
Examiner Name	MICHAEL P. ALEXANDER
Attorney Docket Number	APPM/005699.P3(Y2)/PPC/CMP/CKIM

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	A1	US-6,402,925	6/11/2002	Talieh	
	A2	US-6,582,579	6/24/2003	Uzoh	
	A3	US-6,821,409	11/23/2004	Basol, et al.	
	A4	US-6,852,630	2/28/2005	Basol, et al.	
	A5	US-6,867,136	3/15/2005	Basol, et al.	
	A6	US-6,902,659	6/7/2005	Talieh	
	A7	US-6,943,112	9/13/2005	Basol, et al.	
	A8	US-6,946,066	9/20/2005	Basol, et al.	
	A9	US-2003/0038038	2/27/2003	Basol, et al.	
	A10	US-2003/0119311	6/26/2003	Basol, et al.	
	A11	US-2004/0231994	11/25/2004	Basol, et al.	
	A12	US-2005/0227483	10/13/2005	Basol, et al.	
	A13	US-2006/0009033	6/12/2006	Basol, et al.	
	A14	US-2006/0011485	1/19/2006	Basol, et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	B1	EP 1 410430 (English Translation)				X
	B2	WO 03/009361				
	B3	WO 03/087436				
	B4	WO 03/088316				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.